

APP. No 09/887,692

PTO/SB/06 (08-03)

Approved for use through 07/31/2006. CMB 0001-0001

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**TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING  
REJECTION OVER A PRIOR PATENT**

Doclet Number (Optional)

P4136- DIV 2

In re Application of: Eugene Jackson, et al.

Application No.: 09/887,692

Filed: 06/18/01

For: A NOVEL METHOD FOR PRODUCTION OF MIXED ALCOHOLS FROM SYNTHETIC GAS

The owner, PowerEnercat, Inc of 100% percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application, which would extend beyond the expiration date of the full statutory term defined in 35 U.S.C. 154 and 173, as presently shortened by any terminal disclaimer, of prior Patent No. 6,248,796. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of the prior patent, as presently shortened by any terminal disclaimer, in the event that it later expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321, has all claims canceled by a reexamination certificate, is released, or is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

Check either box 1 or 2 below, if appropriate.

1. ☒ For submissions on behalf of an organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

PowerEnercat, Inc.

2. ☐ The undersigned is an attorney or agent of record.

by Eugene R. Jackson 01/08/04  
Signature Date

Eugene R. Jackson, President

Typed or printed name

303/205-1991

Telephone Number

- ☒ Terminal disclaimer fee under 37 CFR 1.20(d) of \$55.00 is authorized to be charged to Deposit Account No. 131705.

Donald W. Margolis

Date

\*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner).  
Form PTO/SB/06 may be used for making this certification. See MPEP § 324.

This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1480, Alexandria, VA 22313-1480. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1480, Alexandria, VA 22313-1480.

APP. NO 09/887,692

PTO/US 08 (08-09)

Approved for use through 07/31/2008. OMB 0801-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: PowerEnercat, Inc.Application No./Patent No.: 6,248,795 Filed/Issue Date: June 19, 2001Entitled: A NOVEL METHOD FOR PRODUCTION OF MIXED ALCOHOLS FROM SYNTHETIC GASPowerEnercat, Inc. a corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest, or2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 010396, Frame 0675, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.☒ Copies of assignments or other documents in the chain of title are attached.  
(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

01/08/04

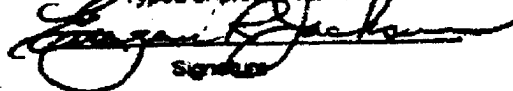
Date

303/205-1991

Telephone number

Eugene Jackson

Typed or printed name



Signature

President, PowerEnercat, Inc  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1480, Alexandria, VA 22313-1480. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1480, Alexandria, VA 22313-1480.

If you need assistance in completing the form, call 1-800-PTO-8788 and select option 2.

11/10/1999 19:27 3834 918

MARGOLIS ASSOC

Attorney Docket No. P4136-NP

## ASSIGNMENT

## WHEREAS ASSIGNORS:

**DEVINDER MAHAJAN**  
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**GENE R. JACKSON**  
A Citizen of the U.S.A. of  
6003 Yank Court  
Arvada, Colorado 80004

Hereinafter called Inventor, are the inventors of an Invention in a certain United States Non-Provisional Patent Application, filed herewith:

For: **A NOVEL METHOD FOR PRODUCTION OF  
MIXED ALCOHOLS FROM SYNTHESIS GAS**

Executed on: November 10 and November 12, 1999, respectively

WHEREAS ASSIGNEE: **POWERENERCAT, INC.**, a Colorado Corporation of  
6003 Yank Ct.  
Arvada, Colorado 80004

hereinafter called Assignee, desires to acquire the entire right, title, and interest in said Invention, in said United States Non-Provisional Patent application and to any United States Patent and to any foreign patents to be obtained for either said Invention or said Non-Provisional Patent Application;

NOW THEREFORE, for good and valuable consideration, receipt whereof is hereby acknowledged, the above named Inventors hereby sell, assign, and transfer to Assignee, its successors and assigns, the entire right, title, and interest in the above identified United States Non-Provisional Patent application and the Inventions therein disclosed for the United States and foreign countries, and for any continuation or divisional applications based thereon, and it is requested that the Commissioner of Patents and Trademarks transfer title to said Non-Provisional Patent application to Assignee, its successors, and assigns; and it is further agreed that Assignee may apply for foreign Letters Patent on said Invention and that said Inventors will execute or assist in obtaining the execution of all papers necessary in connection with any United States and foreign application when called upon to do so by Assignee or, for litigation regarding, or for the purpose of protecting title to the said Invention or Letters Patent therefor for the benefit of Assignee, its successors and assigns.

*Devinder Mahajan*  
**DEVINDER MAHAJAN**

11-10-99  
Date

*Gene R. Jackson*  
**GENE R. JACKSON**  
11/12/99  
Date